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LC ACQUIRES MICROFILM OF *EL SOCIALISTA* FOR 1886-1939

The Library has recently acquired from the Centro Nacional de Microfilm in Madrid, Spain, positive microfilms of the Madrid newspaper, *El Socialista,* for the period from March 12, 1886, through March 28, 1939. The complete microfilm holdings, which consist of 57 reels of positive microfilm, will be available for consultation in the Newspaper and Current Periodical Room.

El Socialista was founded as a weekly in Madrid on March 12, 1886, only seven years after the creation of the party itself and two years before the socialist trade union organization, the Unión General de Trabajadores (UGT), was established. *El Socialista* always played a pivotal role in the Spanish socialist movement. Its editor for most of the period until 1920 was the leader of the Spanish Socialist Party, Pablo Inglesias. All of the other prominent men of early socialism also had active parts as editors, including Jaime Vera, García Quejdo, and Gómez Latorre. After the turn of the century, it also began receiving contributions from such distinguished intellectuals as Clarín (Leopoldo Alas), Miguel de Unamuno, and José Ortega y Gasset.

In 1913, as Spanish socialism grew into a mass movement, *El Socialista* was converted into a daily and served as the focal point for the widespread and variegated socialist regional press that arose. As factionalism began to affect the movement after the First World War, and especially after the establishment of the 2nd Republic in 1931, control of *El Socialista* became a major source of conflict between radicals and reformists. The latter managed to retain control, however, even during the crisis period of 1935-36, when Large Caballero and Indalecio Prieto struggled for hegemony. Publication of *El Socialista* in Madrid ceased with the fall of the city to the forces of General Franco on March 29, 1939.

Acquisition of the complete microfilm holdings of *El Socialista* was recommended by a group of U.S. historians specializing in modern Spanish history.

ELIZABETH EISENSTEIN TO GIVE THIRD LECTURE ON THE BOOK

Elizabeth L. Eisenstein, Alice Freeman Palmer professor of history at the University of Michigan, will give a lecture at the Library of Congress entitled "In the Wake of the Printing Press" on Friday, September 23, at 8:30 p.m. Her talk will be the third in a series of lectures on the book, established in the Library of Congress by Mrs. Charles William Engelhard, in memory of her husband, the financier industrialist, who died in 1971.

A stimulating speaker who has lectured widely, Mrs. Eisenstein is the author of a number of seminal articles on the book as an instrument of change. In recent months, she has been on leave from the University of Michigan completing a book on a National

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Endowment for the Humanities Fellowship for Independent Study and Research.

Her article, "Some Conjectures About the Impact of Printing" (*Journal of Modern History*, March 1968), was widely reprinted and discussed. Subsequent articles, such as "The Advent of Printing and the Problem of the Renaissance" (1968) and "The Advent of Printing and the Protestant Revolt" (1974), have offered further challenges to conventional views and have raised high expectations about a book-length study which she is readying for publication. In her lecture she will share her current thoughts on her earlier work and will provide a preview of her forthcoming book.

The Englehard series, intended for the interested layman, not the specialist, has brought to the Library of Congress the noted speakers Nicolas Barker and Philip Hofer. The fourth lecture, scheduled for November 9, will be delivered by Edwin Wolf II. The lectures consider the book in its many roles–as physical object, as a product of the arts, and as the instrument for preserving and transmitting man's experience.

Mrs. Eisenstein's September 23 lecture will be given in the Coolidge Auditorium in the Library of Congress and is open to the public. No tickets are required. A reception will follow in the Whittall Pavilion.

HEAD OF LC'S PARIS OFFICE HAS AN ENVIABLE JOB

For all those LC staffers who always dreamed of an overseas assignment in France, know that it can come true. One such person, Natalie Montviloff–albeit a former rather than a present employee of the Library–does do LC's work in Paris. On a recent trip home, which included an official visit to the Library, Mrs. Montviloff recounted for her colleagues some of her experiences in France.

Mrs. Montviloff works in Paris at 54 rue Boissonade, in the 14th arrondissement, for the Stechert

While at LC, Mrs. Montviloff visited the French Language Section of the Shared Cataloging Division, the point of destination of materials shipped from her Paris office.

COPIES OF HISPANIC ARCHIVE TAPES PRESENTED TO BRAZILIAN ACADEMY

Jorge Amado (left) addresses a meeting of the Academia Brasileira de Letras on August 4 in Rio de Janeiro, at which the Library presented to the academy cassette copies of the recordings made for LC's Archive of Hispanic Literature on Tape by 20 of the academy members. Present at the meeting (far right, left to right) were Georgette M. Dorn, specialist in Hispanic culture of the Latin American, Portuguese, and Spanish Division, Janet M. Biggs, acting field director of the LC office in Brazil, and Lucy Rocha Souza, administrative assistant in the Brazil office, who is in charge of the taping project there.

Mrs. Dorn (right) presents to the president of the academy, Austregesilo de Athayde, the box of cassettes. Half of the academy membership has recorded for the Hispanic Literature Archive.

Macmillan Co., which is under contract with the Library of Congress to provide publications from France and French-language publications from Belgium and Switzerland. An employee of Stechert Macmillan, she is the head of the LC Shared Cataloging Center, where she supervises three French-speaking selection officers. They process 300 titles a week, 70 percent of which receive three-star cataloging. The turn-around time for a book in the Paris office is one day.

Titles selected by Stechert Macmillan include both books ordered by the dealer and those recommended by LC. Current titles in all subjects are processed, using both the French national bibliography and the Anglo-American cataloging rules.

For those who envision life in Paris as one of long lunch hours and relaxed schedules, Mrs. Montviloff lets it be known that her hours are 8 to 5—with an hour-and-a-quarter commuter trip from the suburb of Marly le Roi where she lives—and a strictly observed 30 minutes for lunch. Her sense of appreciation for the French way of life, however, is not dulled by her busy schedule.

A native of Poland, Mrs. Montviloff has lived in the United States since 1950. She received her bachelor's degree from Barnard College in New York City, and an M.L.S. from the University of Maryland. She came to work for the Library of Congress in 1964 as a preliminary cataloger, subsequently becoming a descriptive cataloger in the Slavic Language Section of the Shared Cataloging Division. By the time she left the Library in December 1973, she had become assistant section head. She moved to Paris with her husband, Victor, who is with UNESCO, and has been head of LC's Paris office since April first of this year. She and her husband have two daughters, Tanya, who is 11, and Anne, age 5.

The Montviloffs enjoy traveling on the weekends and, in the winter, skiing trips. She is also enjoying her renewed contact with the Library of Congress. There's just nothing like doing the Library's work in such pleasant French surroundings.

AMERICANIST ROUND TABLE MEETS

The first session of the Americanist Round Table, a continuing seminar at the Library of Congress for specialists in American history, political science, and culture, will be held in the Whittall Pavilion on

Wednesday, September 14, from 4 to 6 p.m. David Trask, the historian in the Office of the Historian, Bureau of Public Affairs, U.S. Department of State, and the author of several works on American diplomatic history, will discuss "Recent Revisions in American Diplomatic History and Policy."

Sessions of the Americanist Round Table, which is an informal group that expects to meet three times a year, are open to specialists and visiting scholars at the Library of Congress. The round table was formed with the cooperation of the Office of the Assistant Librarian of Congress for American and Library Studies and the Planning Office.

STAFF NEWS

RETIREMENT

Elizabeth Price, senior translator and advisor for translating services in the Government Division of CRS, retired from the Library on August 31 after almost 31 years of Federal service.

Born in Kiel, Germany, Mrs. Price was educated abroad and became a U.S. citizen in 1939. She was employed by the National Gallery of Art from 1939 to 1940 and by the Justice Department for several months in 1941. Mrs. Price then worked for the Pan American Union from 1941 until she joined the staff of the Library in January of 1947.

She served in the Library initially as chief of the Correspondence Section in the Office of the Secretary and was then transferred in late February 1947 to the Legislative Reference Service as research secretary in the Advanced Research Section. Mrs. Price was promoted in 1948 to translator in the General Research Division. Several other promotions followed, including the one in 1968 to the position from which she retired. She has a command of 10 languages and received several outstanding performance ratings for her excellent work.

A farewell party was held in Mrs. Price's honor by her friends and co-workers on August 19. She was presented with a Superior Service Award by the chief of the Government Division commending her for the professional skill and concern for quality that she has exhibited during her 31 years of service to Congress. She was also given a gift as a token of her friends' esteem and affection.

Verna C. Jackson, records clerk, Central Files Unit, Central Services Division, retired on August 5 after 14 years of Government service.

She came to the Library in December 1967 as a preliminary filer in the Union Catalog Division after earlier service with the Veterans Administration, Office of Defense Transportation, and the War Production Board.

She was transferred and promoted to file clerk in the Central Services Division in July 1970, and promoted again in December 1971 to the position from which she retired. Her co-workers honored her with a gift and retirement luncheon on August 25.

AWARD

Mattie L. Hardy was recently presented a 20-year Federal Service Award pin by David G. Remington, chief, Cataloging Distribution Service. Mrs. Hardy began her Federal career with the Library of Congress on August 5, 1957 in the Buildings Management Office.

She transferred to the Cataloging Distribution Service in January 1969, as a mail clerk in the Mail and Shipping Unit. In May 1971, Mrs. Hardy was promoted to her current position of packer in the Wrapping Unit.

PERSONNEL CHANGES

Appointments: Adrienne Brown, arranger-filer, GS-3, Cat Publ, OP 4-500; Kim Brown, library aid, GS-3, Cop Serv, OP 8-200; Estelle Cohen, secretary, GS-5, DBPH, 4514 & 4822; Martha Fisher, cataloger, GS-7, Cop Cat, 4706; Volodimir Hajdar, deck attendant, GS-3, Ser, OP RS-101; Barbara Higgins, order writer, GS-5, Ord, 4614; Charlotte Houtz, microphotographer (trainee), GT-3, Photodup, OP 5-100; Becky Lyon-Hartmann, head, Network Services Section, GS-12, DBPH, 4773; Wanda Miska, preliminary cataloger, GS-5, Desc Cat, 4686; Judith Munn, conservator (paper), GS-5, Restor, 4257 & 4648; Huong O'Connell, searcher-filer editor, GS-5, Cat Publ, 4714, 4761 & 4772; Miklos Radvanyi, legal specialist, GS-12, LL Eur, 4805; Alyne Wilkinson, senior programmer, GS-12, BSO, 4725.

Temporary Appointments: Cathy Curtis, library aid, GS-1, LAPS, NP; Randy Gilbert, deck attendant (trainee), GS-2, S&R, OP 3-600; Eileen Loury, clerk-typist, GS-1, Cop Serv, NP; Robert Morgan, III, deck attendant (trainee), GS-2, S&R, OP 3-600; Stephen O'Toole, deck attendant, GS-3, S&R, OP 4-600; Elizabeth Pilson, reading room assistant, GS-2, S&R, OP 6-600; Terrie Poole, clerk-typist, GS-1, Cop Ref, NP.

Reappointment: Deloris Gainer, clerk (typing), GS-5, DBPH, 4684.

Promotions: James Allgeyer, to attorney for examining, GS-12, Cop Exam, 4744; Debra Baber, to shelflister, GS-5, Subj Cat, 4639; Hugh Clark, to senior copyright examiner, GS-12, Cop Exam, 4757; Fay Diggs, to card reprint reviser, GS-6, CDS, 4723; Phillip George, Photodup, to newspaper

processor, GS-4, Ser, 4776; James Igo, LL Eur, to library technician, GS-5, Ser, 4876; Debby Kahanowitz, to senior copyright examiner, GS-12, Cop Exam, 4740; David Levy, to attorney for examining, GS-12, Cop Exam, 4741; S. LoCurto, to routing assistant, GS-5, E&G, 4834; Shirley Moore, to secretary (stenography), GS-5, CDS, 4945; Michael Seitzinger, Subj Cat, to legal analyst, GS-11, CRS A, 4711; James Vassar, to senior copyright examiner, GS-12, Cop Exam, 4752; Stephen Wilkshire, Ser, to editorial clerk, GS-4, DC, 4841.

Transfers: Willie Adams, Cat Publ, to order preparation assistant, GS-5, Ord, 4778; Elizabeth Carl, NUCPP, to assistant supervisor, resources coordination unit, GS-9, DBPH, 4821; Robert Hickman, CRS L, to research information support technician, GS-4, CRS A, 4840; Caroline Mills, CRS ENR, to divisional secretary, GS-7, Mss, 4859.

Resignations: Linda J. Alter, Ord; Marianne Arthur, Cop Reg; Stephen Baskerville, MARC Ed; Tina Boland, S&R; Christopher Caudill, CRS ENR; Timothy Cook, Cat Mgmt; Margaret Cosgrove, Desc Cat; Joel Daniels, CRS D; Philip DelCarmen, P&S; Glen Evans, DBPH; Hanna Fields, Loan; Lillian Foster, CRS S; Claude Fowler, R&T; Morgan Frankel, CRS E; Jo-Lynn Gilliam, Cat Publ; Brenda Hawkins, S&R; David Hoyt, CRS E; Thelma Hudson, Cat Publ; Robin Johnson, Cat Publ; Sharon Keller, Cat Mgmt; Reginald Lyles, DBPH; Keith Martindale, MARC Ed; Caroline Oliver, Pers Opns; Janette Petty, CIP; Charles Romanello, Cop Serv; SuSun Rowe, NUCPP; Alda Tavares, LL NEA; John Tong, Desc Cat; John Weber, CRS A; Martin Weinstein, Share Cat; James Welch, Photodup; Diane Wood, Photodup; Leslie Young, S&R.

Corrections: Geraldine Hunter, Cat Mgmt, to MARC monograph editor/input operator, GS-5, MARC Ed, 4750; Wonda Torian, NUCPP, to peripheral equipment operator, GS-5, Sci, 4823. [Incorrect information on these transfers was given in this column of the August 26 LC *Information Bulletin*, p. 582.]

STAFF ACTIVITIES

Vivian Schrader, head of the Audiovisual Section, Descriptive Cataloging Division, was the leader of a workshop on cataloging audiovisual materials on August 15-16 in Spokane, Wash. The workshop was sponsored by the School of Librarianship, University of Washington, in cooperation with the Washington Library Association and the Washington State Library. In addition to a discussion of the present cataloging rules for audiovisual materials, the workshop addressed itself to the second edition of the *Anglo-American Cataloguing Rules*, the provision in these rules for nonprint materials, and the extent of the audiovisual cataloging program at the Library of Con-

BEATRICE ARTIS PRAISED FOR HONESTY

Beatrice Artis of the Buildings Services Section was performing her regular duties in the Control Room of the Library of Congress Building on Friday night, July 1, at about 3:30 a.m. when she spotted something unusual. An envelope with the words American Security Bank was sitting on a table. Inside was $1,750 in cash.

"At first I thought it was paper play money," she said.

But when she realized that it was real, she promptly turned the envelope into the Special Police office, where it was routinely recorded as Lost and Found Record 608-77.

This honest act brought high praise from Deputy Librarian William J. Welsh at a special ceremony in his office on August 10. Mr. Welsh read from and presented to Mrs. Artis a letter which will be added to her personnel file.

"We are especially proud of the record of the staff of the Buildings Services Section of the Buildings Management Office," the letter read, "Your action is outstanding, however, and reflects great credit on the Library."

One person, however, was even more delighted with Mrs. Artis' action. That was the staff member who had withdrawn the money from his savings account to finance some construction on his house. He correctly identified the envelope for the Special Police and it was returned to him.

gress. An opportunity was provided to explore various problems related in the cataloging of projected materials, sound recordings, and instructional materials.

Richard W. Stephenson, head of the Reference and Bibliography Section of the Geography and Map Division, is the recipient of the Honors Award for outstanding achievement in geography and map librarianship given by the Special Libraries Association. Mr. Stephenson is co-editor of the third edition of *Map Collections in the United States and Canada,* which will be published by the Special Libraries Association in the fall. He also is editing for the Library of Congress a checklist of holdings of the Sanborn Map Co. fire insurance maps of American cities, in addition to revising his 1961 edition of *Civil War Maps.*

ANNOUNCEMENTS

Barbara E. Khattab and **James L. Young** were married on Saturday, August 27. A reception was held at the Mount Vernon Yacht Club. Mrs. Young is the health services supervisor, Health Services Office, and Mr. Young is with the U.S. Department of the Treasury.

The **Training Office** has announced the following in-house courses, prepared exclusively for Library of Congress employees. Attendance must be job-related, and nominations must be submitted by the individual's supervisor using Optional Form 170, *Request, Authorization, Agreement and Certification of Training.* Supervisors should note nomination deadlines. Nominations for scheduled courses will not be accepted after the specified nomination deadline, except when the course is undersubscribed. Further information may be obtained by calling the Training Office on ext. 6348 or by referring to the annual *Catalog of Course Offerings, September 1977 through September 1978.*

Course Title	*Dates*	*Nomination Deadline*
German	10/3-1/17/78	9/16
Italian	10/3-1/17/78	9/16
Personnel Policies and Procedures for Supervisors	10/4-13	9/19
Interviewing and Counseling Techniques for Supervisors	10/3-7	9/19
Position Classification for Managers and Supervisors	10/3-7	9/19
French*	10/11-12/21	9/23
Transactional Analysis for Organizations**	10/12-14	9/28

* Please note date changes from that listed in the FY 1978 catalog.

** Transactional Analysis for Organizations is a human relations orientation to improve interpersonal on-the-job contacts and communications. Refer to the annual *Catalog of Course Offerings* for eligibility requirements.

The **Library of Congress Professional Association** program for September will be a talk on the Federal Theatre Project collection by Elizabeth Walsh, who is curator of this archival collection of materials from the 1930s Federal program for the arts. This Library collection is on permanent loan to George Mason University. The program will be held in the Whittall Pavilion, Tuesday, September 13, at 11:45 a.m.

The Library of Congress **Welfare and Recreation Association Choral Society** resumes its fall schedule on Monday, September 12, in preparation for its Christmas concert under the direction of Leontine Connolly. Rehearsals are held at noon, Mondays and Thursdays, in the Capitol Page School Library, Room 309A, Library of Congress Building. The Choral Society is particularly seeking male voices.

LIBRARY OF CONGRESS PUBLICATIONS

Accessions List: Brazil. ISSN-0095-795X. Vol. 3, No. 5. May, 1977. Continuing subscriptions free to libraries upon request to the field director, Library of Congress Office, American Consulate General, APO New York 09676.

Accessions List: Pakistan. ISSN 0041-7777. Vol. 16, No. 4/6. April/June 1977. Continuing subscriptions free to libraries upon request to the field director, Library of Congress, American Consulate General, Karachi, Pakistan.

Accessions List: Southeast Asia ISSN 0096-2341. Cumulative list of Indonesian serials, 1974-1976. Continuing subscriptions free to libraries upon request to the field director, Library of Congress Office, American Consulate General, Karachi, Pakistan.

Digest of Public General Bills and Resolutions. ISSN 0012-2785. 95th Congress, 1st Session. Supple-

ment No. 2 to First Issue. For sale by the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402, for $10 this issue, or $150 a session, domestic, and $187.50 a session, foreign (LC 14.6:95-1-1/Supp. 2).

LC Science Tracer Bullet: Ginseng. ISSN 0090-5232. (TB 77-10) July 1977. (8 p.) Compiled by T. R. Liao, this reference aid provides a systematic approach and guide to the literature on ginseng. "This guide is intended for those who wish to locate published material on ginseng in the collections of the Library of Congress. Not meant to be a comprehensive bibliography, the guide is designed—as the name of the series implies—to put the reader 'on target.'" Copies may be obtained free from the Reference Section, Science and Technology Division.

LC Science Tracer Bullet: Hypertension. ISSN 0090-5232. (TB 76-10) December 1976. (10 p.) Compiled by Leroy D. Davis, this reference aid provides a systematic approach and guide to the literature on hypertension, a condition affecting "approximately 17 to 22 million adults in the United States." Copies may be obtained free on request from the Reference Section, Science and Technology Division.

NEWS IN THE LIBRARY WORLD

COMING EVENTS

The Washington, D.C., Chapter of the **Special Libraries Association** is sponsoring a professional day for librarians and employers on Wednesday, September 14. Robert W. Gibson, head of the Technical Research Laboratories Library, General Motors Corp., and the keynote speaker, will discuss the role of the special librarian in a session beginning at 11 a.m. The session will be repeated at 4 p.m. Both sessions will take place in the new U.S. Department of Labor Library, 200 Constitution Ave., N.W., Room North 2439. Tours of the library will be held during the morning and afternoon sessions. The meetings are open to SLA members and non-members.

The Second Annual Conference of the **American Printing History Association** will be held at Columbia University, New York City, on Saturday, September 24. The theme of the all-day event, which begins at 9 a.m., is "Printing Revolutions: The First Two and What They Can Teach the Third." Five speakers, a luncheon, and a reception, during which the Book Arts Press of the School of Library Service will hold an open house, will make up the day's events. The conference will take place in the Harkness Theatre. 105 Butler Library, on the Morningside Heights campus of the university, and is open to all interested persons, up to a maximum of 300. The fee (including lunch) is $8 for association members, $13 for nonmembers (including a year's membership in APHA), and $5 for students. There will be a registration at the door if all spaces have not been taken in advance. Interested persons should contact Jack Golden, Designers 3, Inc., 555 Fifth Ave., New York, N.Y. 10017.

The Association of American Foreign Service Women (AAFSW) will hold its **17th Annual Book Fair** from Monday, October 3, through Saturday, October 8, at the 23rd St. foyer of the Department of State. The fair will be open weekdays from 10 a.m. to 4 p.m. and on Saturday from 10 a.m. to 1 p.m. and will include hard-cover and paperbound books, both fiction and nonfiction, in all subjects. There will also be a children's book section, and stamps, records, prints, paintings, and art objects will be sold in addition to books. Proceeds from the sale go to AAFSW contributions to educational, scholarship, and community programs. Further information is available by calling (202) 632-9411.

APPOINTMENTS

Kieth C. Wright has been appointed dean of the College of Library and Information Services, University of Maryland, effective August 14. Mr. Wright received his Ph.D. in 1972 from Columbia University School of Library Service in New York City. He also holds an M.L.S. from Columbia University School of Library Service, a master of divinity degree from the Union Theological Seminary in New York City, and a B.A. from Willamette University, Salem, Oreg. Since last fall Mr. Wright has been an assistant professor at the Department of Library Science, Catholic University of America. He has also been the acting director of the Model Secondary School for the Deaf, Gallaudet College.

Lawrence E. Molumby was recently appointed assistant director of the District of Columbia Public Library. Mr. Molumby has been with the library for 10 years as head of the Library Services and Programs Department. He is a graduate of the Library School of the Catholic University of America. In his new assignment, he will be responsible for the public service of the D.C. Public Library.

APPENDIX I

REPORTS ON THE 70TH ANNUAL MEETING OF THE AMERICAN ASSOCIATION OF LAW LIBRARIES
Toronto, Canada, June 26-30, 1977

PRECONFERENCE INSTITUTE ON CIVIL LAW
Montreal, Canada, June 21-24

Michael Renshawe, the law librarian at McGill University, welcomed approximately 110 law librarians to the opening meeting of the Institute on Civil Law on June 22. Marianne Scott, the director of the McGill Libraries, also extended her greetings and John E. C. Brierly, the dean of the Law School, presented a general introduction to civil law.

Mr. Brierly emphasized the similarities between the various civil law systems involving a particular legal mode of thought. The code is only a state in the evolution of the civil law. It is a 19th-century phenomenon with 40 countries having adopted similar codes. Today, the great majority of non-English speaking countries have civil law systems, including Western and Eastern Europe, Latin America, and many of the Far Eastern countries. The civil codes represented a 19th-century feeling toward the perfectibility of law. They involve general statements of the rules of law–abstractions embodying a moral philosophy concerning rules of conduct. The generality of the rule reduces the need for intricate legislation and is more easily comprehensible by the general population, not just accessible to the lawyer.

Typical civil codes are divided into persons and family (subject of rights), property (object of rights), and the means for acquiring and disposal of property. The codes are traced back to the Roman times. The French Civil Code of Napoleon, 1804, was the model for the others that followed. Coming after the French Revolution, it represented the new order in France.

The major ingredients entering into the civil codes included Roman law, German custom, ordinances of the French Kings, canon law, and doctrinal writings of scholars.

In civil law systems, judicial decisions may be considered sources of law in only the broadest sense. To refer to case law would be a contradiction of terms in the civil law country. The courts do not lay down binding rules of law; they only adjudicate individual disputes, applying existing law. Within the civil law system, legal scholars have great prestige, equal or superior to that of the judiciary, and their writings may be used to guide the judges and the legislators.

Understanding foreign legal systems is a key to the understanding of other peoples. The speaker pointed out that McGill University's experience in teaching both common law and civil law contributed to that need for understanding and toward the ultimate realization of a higher order of law. The period of questions that followed was concentrated on a comparison between the American and the civil law legal education.

The afternoon session involved three speakers. Irene Bessette, law librarian at the Queen's University Faculty of Law, Kingston, Ontario, spoke on the French legal system. She spoke of the separate development of the private law as contained in the civil code and also the commercial code. Other forms of law including the constitution, and decrees and ordinances, were described. The functions of the Council of State, which is the French Supreme Court, was described, as well as the lesser judiciary and their jurisdictions.

The next speaker, Gerhard J. Dahlmanns, president of the International Association of Law Libraries, presented "A Glimpse of German Civil Law." He spoke about the German legal system with the civil code as the basis for the German private law emphasizing the development of the private law through legislation, the courts, and scholarly writings. He also briefly talked about the problems in locating the law.

Anita K. Head, law librarian of the University of Kansas, followed with a lecture on the Swiss civil law system. She outlined the constitutional history and described the process of codification that resulted in the major codes, namely, the *code civil suisse*, the *code des obligations*, and the *code penal suisse*. She spoke of the Federal and cantonal procedural law and described the courts as well as methods of legal research in Swiss law. She also described the new court revision which provides for limited judicial review and a decrease in the use of the initiative and referendum procedures.

The opening session on June 23 dealt with the Quebec legal system. The speaker was Jean Goulet of the Université Laval, who traced the historical changes in Quebec from the British conquest in 1760, emphasizing the importance of the code of 1857. He

noted several common law practices that were adopted by Quebec and described in some detail the Civil Code Revision Act of 1954. A period of comparative law questions followed this presentation in which all the previous lecturers participated. The comparison between the security of a civil code as a general statement of the order of society as against the dynanism of the changing law within the common law system was emphasized.

During the afternoon, tours were conducted of the Montreal Bar Library, the University of Montreal Law Library, and the McGill University Law Library. Several participants also visited the Quebec Ministry of Justice Information Office in the new court house and obtained publications describing various aspects of the legal system in Quebec.

Jack Ellenberger, president of the American Association of Law Libraries, spoke at the conclusion of the banquet held in one of the residence halls on the evening of June 23.

The morning of June 24 was devoted to a study of the Louisiana civil law system. The initial speaker was Robert Pascal from Louisiana State University, who described Louisiana as a mixed jurisdiction. The civil code which governs private substantive law is in a form similar to the French Civil Code although the substance of the code is dominated by the Spanish influence. The problems of legal education and the current tendencies among the legal profession and the judiciary in Louisiana to confuse the civil law system with the common law were discussed. David A. Combe, law library of Tulane University, gave a concise description of legal research in Louisiana law.

The afternoon sessions were composed of lectures on four additional areas. Juan Aguilar from Drake University spoke on the Hispanic civil law systems; H. R. Hahlo from McGill University spoke on civil law in Southern Africa; Dominik Lasok from McGill University spoke on civil law in Eastern Europe; and Tao-tai Hsia, chief of the Far Eastern Law Division of the Library of Congress, talked about civil law in the Far East.

The final lecture of the series, entitled "Women's Property Rights under Civil Law," was presented by Ethel Groffier-Atala from McGill University, who detailed the influence that the emergence of the principle of equality between husband and wife has had on legal reform and the law of matrimonial *régimes*. The reform has taken three basic forms–increased powers given to the courts for property distribution, deferred community or partnership of acquests, and a changed community concept with joint administration in order to protect the non-administrating spouse from the misuse of assets by the other. The possibility of changing one's *régime* during marriage has also been provided for. [*Robert L. Nay*]

BUSINESS MEETING I

At the first business meeting on Monday, June 27, AALL President Jack S. Ellenberger, Covington & Burling, Washington, D.C., opened the meeting by briefly describing the growth of the association since 1952 when it last held a meeting in Toronto and 200 of the 600 members attended the meeting. This year, approximatley 1,000 of 2,600 members were in attendance.

Mr. Ellenberger reported that seven petitions for special interest sections have been approved: Contemporary Social Problems, Law Library Service to Institution Residents, Government Documents, Private Law Libraries, Automation and Scientific Developments, State, Court and County Law Libraries, and OCLC Operations. Commenting on some of the committee accomplishments during the year, the president reported that the *Biographical Directory*, with some 1,300 entries, has been published and will be distributed in July; a draft ethics code has been prepared; the Special Committee on Networks has received $10,000 jointly from AALL and AALS for a study of the feasibility of a law library bibliographic network.

Treasurer Joyce Malden, Municipal Reference Library, Chicago, Ill., reported that the association is in good financial condition at the moment; however, a dues increase will probably be necessary in 1978-79. Secretary Patricia P. Piper, University of California Law Library, Davis, submitted a list of members recommended for life membership which was unanimously accepted. Charlotte Stillwell, Cook County Law Library, Chicago, Ill., chairman of the Elections Committee announced the election results: Myron Jacobstein, Stanford University Law Library, vice president and president-elect; Joyce Malden, treasurer; Cameron Allen, Rutgers Law Library, Newark, N.J., secretary; Lorraine Kulpa, General Motors Law Library, and Stanley Pearce, O'Melveny & Myers, Los Angeles, Calif., Executive Board.

Paul Gay, University of Pennsylvania Law Library, chairman of the Constitutional and Bylaws Committee, announced proposed amendments to the AALL Bylaws concerning student membership, nomination, and elections.

Under new business, the following resolution was unanimously adopted:

RESOLVED: that the American Association of Law Libraries urges every state that does not have a code to codify, index, publish and make available for purchase the rules and regulations of their state-wide agencies and institutions, so that the rights and duties of the State and its citizenry are known to both, a result which would fulfill an urgent public need and would be greatly in the public interest.

Such a codification necessarily presumes a State Administrative Procedure Act, and in those states where one does not presently exist, the Association also urges the adoption of such an act.

The Association further urges that new State Codes be promulgated in a looseleaf format to facilitate currency and accuracy, with updates to be provided by supplemental filings or frequently issued Registers. Those States whose present Administrative Codes are issued in bound volumes are urged to change to the looseleaf format.

The Association, in passing this resolution, pledges its assistance to its constituent members, chapters and sections in furthering the adoption of state administrative codes within the respective states. [*Marlene C. McGuirl*]

PANEL ON TAXATION

Moderator Austin Doherty, librarian at the law firm of Arent, Fox, Kintner, Plotkin & Khan, Washington, D.C., gave a brief introduction to a very complex subject. Taxation as a worldwide phenomenon may be considered as a means of implementing a social policy and a piecemeal revolution as discussed by Daniel Bell in *The Cultural Contradictions of Capitalism* (1976). The literature on taxation may also be thought of as mysteries in several editions, or as rationality or irrationality.

Panelist Barbara Giersch, librarian, United States Tax Court, Washington, D.C., spoke on research in tax law and noted the many excellent tax services available to the researcher. She emphasized the latest developments, the most important of which is probably the decision of the Internal Revenue Service to make most of its manuals available to the public. These manuals are now being published by Commerce Clearing House, Inc., which is adding indexes to the individual manuals.

The United States Congress in 1974 passed the Employment Retirement Income Act, or as it jokingly has been called, the Attorney's Full Employment Act. Two new publications cover this Act—a five-volume set published by the Research Institute of America, Inc. and a weekly newsletter by the Bureau of National Affairs, Inc.

In connection with the 1976 Tax Reform Act, the Internal Revenue Service has made its private-ruling letters available to the public. The full text of these letters is also published by Commerce Clearing House, which provides an excellent index. The contents of these letters cannot be used as precedents, but they often give a very clear picture of the views taken by the tax authorities. The legislative history of the 1976 Tax Reform Act has been published by the Bureau of National Affairs as *Primary Sources, Series 1.* This publication includes hearings, committee reports, and the like, as well as the verbatim debates from the *Congressional Record.*

A further useful reference tool is the *Tax Coordinator* which is being rearranged into 38 volumes and will include some new features, such as proposed tax legislation. The rather new *Service Tax Locator* is likely to be in demand because it refers to all of the tax services by Commerce Clearing House, Prentice Hall, Matthew Bender, and the like, and to tax articles appearing in various periodicals. There are also at least two other indexes of articles in periodicals.

A new way of doing tax research is by computer, and LEGIS has an extensive tax library included in its data base.

Panelist John L. Burke, Jr., attorney at Arent, Fox, Kintner, Plotkin & Khan, Washington, D.C., spoke on tax deductions for conventions in Canada and whether or not local chapters of the American Association of Law Libraries ought to apply for tax exempt status. The new section 274 (h) of the Internal Revenue Code limits a private employer's right to deduct expenditures for more than two yearly conferences abroad for an employee and requires the employee to participate in at least 60 percent of the scheduled activities abroad. Mr. Burke emphasized that the Treasury Department had not yet issued final regulations on the interpretation of this new provision and stated that Canada in this context possibly may be considered as being inside the United States, along with Hawaii and the Virgin Islands. Mr. Burke's further remarks on the interpretation of section 274 (h) followed rather closely the text in title 26 of the *United States Code.*

His discussion of section 501 (c) (3) and section 501 (c) (6) of the Internal Revenue Code on tax exempt status for certain organizations led to the conclusion that most of the local chapters of the American Association of Law Libraries should not file for tax exempt status but simply balance their incomes and expenditures in such a way as not to have any surplus at the end of the financial year. The filing of a tax return is normally not required of associations with yearly receipts of less than $10,000.

Panelist Moshe Schuldinger, attorney advisor, staff of the Tax Legislative Counsel, U.S. Department of the Treasury, discussed the role of the Treasury Department in preparing tax legislation. He stressed that during the last 20 to 30 years all major tax legislation has originated there. The department is now very much involved in the many tax proposals included in President Carter's proposed energy legislation.

The Treasury Department employs for domestic tax legislative purposes 15 to 20 attorneys and twice as many economists. The counterparts in the U.S. Congress are on the staffs of the appropriate subcommittees of the House Committee on Ways and Means and the Senate Committee on Finance. Both of these committees have chairmen who usually add their personal touch to new tax legislation. The techncial work, however, is usually done in the Joint Committee on Internal Revenue Taxation.

The department is also working on a simplification of the Internal Revenue Code. This simplification will probably result in an integration of personal and corporate income taxes and in changes of the provisions on personal deductions. Mr. Schuldinger stressed that it would be rather easy to write a code which could easily be understood by practically everyone, but the political process in a democratic society is such that the Congress would not likely pass such a code. He used as an example the earlier discussed provisions on tax exempt status for charitable and educational institutions. The recent changes in these provisions could have been very simple if the purpose merely had been to establish that such organizations would lose their tax exempt status if they engaged in any lobbying activities. The political compromise, however, was that they would lose their tax exemption only if they engaged in *substantial* lobbying activities. Although this added more equity to the provision, it also added the need for language best understood by persons with substantial legal expertise because it has to be interpreted against the background of case law or actual decisions. [*Finn Henriksen*]

PANEL ON THE LEGISLATIVE PROCESS

This panel, held on Monday, June 27, with Ellen P. Mahar, librarian of Shea & Gardner, Washington, D.C., as moderator, was well attended. In her opening statement, she gave a brief overview of the lectures to follow and defined the topics of the four speakers.

The first panelist, Ronald E. Steele, legislative librarian at Arnold & Porter in Washington, D.C., discussed "U.S. Federal Legislative Materials." In his introductory remarks he explained his original plan of holding a seminar for non-American citizens rather than giving a lecture on the subject. After a short description of the sources related to U.S. legislative procedure as well as to the legislative history of congressional acts, he discussed the various publications providing information on these problems and mentioned among others, the Congressional Quarterly's *Guide to Congress*, the *Capitol Hill Manual*, Charles J. Zinn's work *How Our Laws are Made*, E. H. Pollock's *Fundamentals of Legal Research*, and Elizabeth Finley's work on *Private Law Libraries*. In the course of his session, Mr. Steele answered a number of questions from the audience, such as how to find a pre-1950 committee print, what are the tasks of SCORPIO, whether there is any computer on Capitol Hill which would give a complete legislative history of a bill, what kinds of information LEGIS provides, and so forth. He emphasized the difficulty a librarian or researcher has in tracing and obtaining a full history of a legislative bill. Among the several available sources giving relatively good histories, he mentioned the Daily Digest of the *Congressional Record*.

The second panelist, Winston S. Moore, director, Legal Policy Studies, American Enterprise Institute for Public Policy Research, Washington, D.C., presented "A Case Study in U.S. Federal Legislation: The Consumer Protection Agency." He covered the legislative history of the bill aiming at the creation of this agency over the last eight years. Mr. Moore gave a very detailed description of the various stages the bill went through in the course of the several Congresses and emphasized very clearly the difficulty scholars and librarians encounter when trying to obtain documentary materials related to this piece of legislation. As to the fate of the proposed legislation, the speaker stated that "it was torture for the librarian"; in fact, it has never become a law. On the other hand, he pointed out that its history is an excellent example of the legislative process in that it illustrates all the aspects of the problems involved in trying to have a bill passed and in obtaining documentation thereof.

The third panelist, Marcia J. Koslov, librarian, Wisconsin State Law Library, approached the topic of legislative process from the point of view of "U.S. State Legislative Materials." She took the State of Wisconsin as the model to discuss the types of materials as well as the persons and agencies involved in the state legislative process, which as she pointed out is "remarkably similar to the Federal level." She presented a very detailed description of the available resources and the ways of locating them, in particular

enumerating the various partisan and non-partisan agencies as well as public and private organizations which record, collect, and publish the legislative history of state bills.

The fourth and last speaker, Gail Starr, law librarian, University of Calgary Law School, Alberta, whose topic was "Canadian Federal and Provincial Legislative Materials," traced the differences in the background and constitutional aspects of the U.S. and Canadian legislative processes, the role of the laws within the legal systems of these two countries, and the use of the legislative history by the courts. She repeatedly pointed out that because of the differences between the parliamentary systems in Canada and the congressional system in the United States, the Canadian Supreme Court would not consider what was said or done in the legislative body during the consideration of a bill.

During the question-and-answer period, the audience brought up several problems which were analyzed by the panelists. [*Ivan Sipkov*]

BUSINESS MEETING II

The Joseph Andrews Bibliographic Award was presented jointly to Sir Leon Radzinowicz and Roger Hood for their work entitled *Criminology and the Administration of Criminal Justice*. The authors were not present to accept the award but had been notified by the chairman of the committee, Thomas H. Reynolds, University of California School of Law Library.

The next item on the agenda was an address by Barbara Ringer, U.S. Register of Copyrights. The emphasis of her speech was the new copyright law, particularly those provisions having to do with fair use (§107) and photocopying by libraries (§108). Section 107 of Public Law 94-553 (90 Stat. 2541 (1976)) is a vague section which Miss Ringer described as intended to be a minima not a maxima. Section 108 describes those photocopies which a library can make along with section 107. Among the requirements on the library making the copy are the following: the library must be one that is open to the public or to special researchers; a notice of copyright must be on the copy the library is handing out; and the library must have no reason to believe that the copy would be used for anything but scholarly research. While unpublished works cannot be copied and handed out, as this would be publishing, copies can be made for preservation purposes. Articles and contributions to collections can be photocopied if the library posts a warning of possible copyright infringement and places a similar notice on the copy order form. Under §108 (f), Miss Ringer pointed out that there is no liability imposed on a library or its employees for "unsupervised use of reproducing equipment on its premises" so long as an appropriate notice is displayed on the copying equipment.

As to the question of interlibrary loan, the speaker emphasized that the new law contains a prohibition against systematic copying or distribution. The onus, however, is on the requesting library which must not request more than five articles from a particular title within five years.

A brief question-and-answer period followed.

[*Marlene C. McGuirl*]

MEMBERSHIP MEETING

On Tuesday, June 28, Thomas D. Hamill, chief counsel for the Division of Enforcement of the Securities and Exchange Commission, substituting for Theodore A. Levine, assistant director of enforcement, Securities and Exchange Commission, addressed the membership of AALL. In his speech, "Recent Development in Securities Law," Mr. Hamill traced the history of the Securities and Exchange Commission and its jurisdictions, explained how securities differ from other commodities and how Congress became interested in this area and began to regulate the business of securities.

Following the historical overview of the commission, Mr. Hamill discussed the highlights of the Securities and Exchange Act of 1934, its organization and main aspects such as the registration of securities. The present structure of the Securities and Exchange Commission was also described and carefully analyzed to show the agency's duties and responsibilities.

Part of Mr. Hamill's report focused on the relationship and cooperation between the commission and state regulatory agencies. As a result of the communication system that exists between the Federal government and the states, many fraudulent schemes have been stopped. Further, the commission's efforts have opened communication which has led to cooperation with foreign states.

The commission's vigorous enforcement of its regulations has contributed to the exposure of certain management frauds such as the slush funds kept by some companies to spend on political matters or to create dummy companies or to maintain two sets of books for making illegal payments. Approximately 370 corporations participate in voluntary disclosure of funds and activities.

Mr. Hamill ended his report by stating that the

Congress is actively engaged in preparing new bills, rules, and other means for stopping illegal activities involving securities. He also pointed out that Rep. John E. Moss' Subcommittee on Oversight and Investigations of the House Committee on Interstate and Foreign Commerce concluded that the Securities and Exchange Commission is the best Federal agency.

The response to Mr. Hamill's address to the AALL membership attested to the keen interest that law librarians have developed in the field of securities law.

Charlie R. Harvey, Villanova University Law Library, introduced the next speaker, Peter C. Ward, a partner in Banker and Daniels, a Washington, D.C. law firm, who delivered an address on "The Public's Access to Government—[the] Freedom of Information, Privacy and Sunshine Acts." Mr. Ward discussed some practical aspects of these three statutes, especially those aspects of interest to law librarians. In addition to defining the scope of these acts and relating the exceptions, procedure, and remedies which they prescribe, he suggested, on the basis of his own experience, some practical ways to obtain information from the Federal executive agencies and the Congress, pointing out that the latter is not covered by the Freedom of Information Act.

[*Zuhair E. Jwaideh* and *Tao-tai Hsia*]

PANEL ON NEW DEVELOPMENTS IN LAW LIBRARY ADMINISTRATION

On Tuesday afternoon, a three-member panel explored some of the recurring administrative problems confronting law libraries as well as new concerns brought on by accelerating technological and social developments. Moderated by Pamela Hardisty, Library of Parliament, Ottawa, panel members included law librarians from three segments of the profession. Law firms were represented by James W. Shelar, Arnold & Porter, Washington, D.C.; court libraries by Robert R. Gutz, Appellate Division Law Library, Rochester, N.Y.; and universities by Laura N. Gasaway, University of Oklahoma Law Library.

The law firm panelist emphasized the emerging role of the law library as an information center, with new tools and responsibilities, and the need to coordinate the research of the entire firm by working with their professionals. Court library concerns were placed on extended user problems and the working relationship with court administrators. University library current problems stemmed essentially from reduced budgets causing diminished resources, staff, and services; constraints on financing expensive computerized research; increasingly complex personnel operations brought about by expanded regulatory programs; the use of technology in security and computer-assisted cataloging; and service and sharing resources both within and outside the immediate library.

Special problems were detailed by the panelists in individual settings; the few solutions provided were generalized. [*Carleton W. Kenyon*]

BUSINESS MEETING III

The third business meeting was called to order on June 29 by the president. A formal Resolution of Appreciation to the Local Arrangements Committee was adopted. The membership then adopted the following bylaws amendment:

Article 1. Membership

Section 2. Dues, rights and privileges. The right to hold an office shall be restricted to active individual members and to designated members under an institutional membership. Rights of voting shall be restricted to active individual members, to designated institutional members under institutional membership, *to student members* and *to* life members.

Article VII. Speical Interest Sections

Section 2. Membership. Active individual members, designated institutional members *and student* members may affiliate with one or more sections upon payment to the Association of an annual fee for each section.

Other proposed amendments to Article II failed to carry.

The final business session of the 70th Annual Meeting was adjourned. [*Marlene C. McGuril*]

PANEL ON ON-LINE INFORMATION RETRIEVAL FOR THE LEGAL PROFESSION

This panel on Wednesday, June 29, was moderated by Terry Appenzeller, law librarian, U.S. General Accounting Office, Washington, D.C. Miss Appenzeller began by addressing herself to the problems connected with the conceptual foundations of various on-line interactive retrieval systems and the wide range of differences existing between the systems themselves. Within this context, she focused on the specific problem of selecting the best systems in the market to meet given needs.

To assist managers and users in this selection she proposed circulating information about experiences with retrieval systems among groups of users at the local level, offering seminars on various aspects, and disseminating literature on the corresponding topics.

She also pointed out the need for a more careful

analysis of available systems, especially of the cost element since the need to justify the investment in acquiring the system always exists. The following speakers elaborated on these points by drawing on their vast experience in the field.

Robert M. Landau, president of the Science Information Association of Kensington, Md., expressed his view of the basic assumptions that underlie all information systems currently available and the systems' accessibility to various levels of users. He discussed the advantages and disadvantages of line information retrieval systems and drew parallels between traditional scientific fields and the law vis-à-vis information systems. Mr. Landau made several interesting propositions by delving into the similarities and differences between the scientific and legal methods. He reported that generally lawyers have shown greater interest in systems offering full text retrieval than those giving bibliographic information only. The latter system has been preferred by scientists.

In conclusion, Mr. Landau emphasized the failure thus far to design a retrieval system which could adequately parallel the human brain. Nevertheless, he spoke of the continuing efforts to advance systems closer to the goal of a simpler and more direct human type of information retrieval operation. He recommended that the audience remember that within the present context of programmed systems, users must have subject specialization to gain optimum system performance.

James A. Sprowl, research attorney of the American Bar Foundation and author of a manual and several articles in the field, addressed some of the practical aspects of automated retrieval systems used in law. After stating that no information system, whether automated or not, solves all research problems, the speaker explored the basic problem of attorney's learning to formulate research questions suitable for an automated response. This problem has created the need for special training for lawyers and law librarians who use automated retrieval systems. Mr. Sprowl reported that technological improvements are reducing the problem's magnitude but have not simplified the systems adequately to make them readily accessible to untrained users. One of the most fundamental steps in making the system more accessible, he mentioned, is the standardization of the terminals. Ideally terminals should be equipped with identical keyboards, symbols, and screens to make them operative for any number of systems.

He suggested that law librarians should be more active in sharing common experiences and problems, especially those related to automated retrieval of legal information, and should organize their efforts in solving these problems.

Both speakers entertained a variety of questions. Most of the questions were related more to the practical aspects of the various systems in use than to the abstract conceptual foundations of the disciplines involved. [*Rubens Medina*]

PANEL ON UNITED STATES AND CANADIAN BUSINESS AND BANKING INFORMATION SOURCES

James F. Bailey, director of the Law Library, Indiana University, moderated this panel on Wednesday, June 29. In his introductory remarks to the meeting, he pointed out the lack of adequate information sources on the subject. He expressed relief, however, at the presence of the panel speakers, who, the moderator remarked, because of their expertise and excellent knowledge, would be in a position to provide abundant bibliographic information to fill the gap.

The panel discussion was divided among the three speakers, Susanne B. Hendsey, deputy director, Federal Trade Commission Library, Washington, D.C., who spoke on "Business and Statistics Sources"; Joan M. Gervino, library director, American Bankers Association, Washington, D.C., who took up "Banking and Financial Sources"; and Jane Cooney, librarian, Information Centre, Canadian Imperial Bank of Commerce, Toronto, who addressed the topic "Canadian Business and Economic Sources."

Miss Hendsey showed slides to explain the contents and the arrangement of her information sources. Miss Cooney described some of the problems in her Canadian jurisdiction because of the small size of the industry and earlier reliance on U.S. publications.

The three speakers described some of the salient sources that law librarians use. Included were *American Banker, Canadian Advertising Rates & Data, The Canadian Business Periodicals Index, Canadian Trade Index, Directory of American Savings and Loan Associations, F. & S. Index of Corporations and Industries, Standard & Poor's Register of Corporations, Directors and Executives,* and the *Encyclopedia of Business Information Sources.* [*Krishan S. Nehra*]

APPENDIX II

SARATOGA–1777
A Selected List of References

Compiled by Marguerite D. Bloxom
Bibliography Section
General Reference and Bibliography Division

The most notable event of 1777 may well have been the surrender of Burgoyne's army at Saratoga; many have called it the turning point of the war. Leading a force of several thousand British soldiers and Hessian mercenaries as well as hundreds of Loyalists, Canadians, Indians, and camp followers, and carrying an initial artillery train of 138 brass pieces as well as lighter fighting equipment, supplies, and baggage, General Burgoyne set out in June to push from Montreal in Canada to Albany in New York where he expected to make a junction with the Southern Army commanded by General William Howe. In London this strategy, which was expected to put an end to the rebellion, was coordinated by Lord George Germain.

Proceeding down Lake Champlain, Burgoyne's forces took Fort Ticonderoga with little resistance in July. After that success his situation declined steadily. As his men struggled overland to the Hudson River their progress was impeded by weather and the obstacles of the terrain, which were worsened by the fallen trees and broken bridges left by the fleeing Americans. There were supply problems, for the fields had been burnt and cattle hidden. Communication was slow and unreliable, and transportation was hindered by a shortage of carts and horses. The number of his troops dwindled; some were left to hold Ticonderoga and other posts along the way, and another contingent suffered losses while trying to raid American supply depots at Bennington. The Indians were almost impossible to control, and tales of their savagery aroused intense local hostility.

On the American side the loss of Ticonderoga was greeted with dismay and alarm. In August General Schuyler was replaced by Horatio Gates as commander of the northern department. Washington sent Morgan's riflemen to the northern army, and Arnold arrived with troops. General Gates urged enlistments from New England. As successes at Bennington and in the Mohawk Valley lifted American morale (and after the harvest was gathered), the militia turned out in increasing numbers. Gates scouted the west bank of the Hudson, stopping in September with instructions for Kosciuszko to fortify the wooded bluffs.

The fighting when it came was concentrated in two engagements, first on September 19, often called Freeman's Farm, and then on October 7, called Bemis Heights. In the time between these battles the British regrouped near Saratoga and built fortifications while being constantly harrassed by American raiding parties and snipers. Gates and Arnold quarreled angrily, the latter advocating aggressive attack, the other preferring a conservative holding action. Arnold complained that his battle actions had not received the credit due them, and he was relieved of his command.

Burgoyne's position was unenviable. He had cut his communication lines with Canada when he crossed the Hudson. The hint of winter was already in the air, and his provisions were running low. For all practical purposes he was surrounded, and he was vastly outnumbered by the Americans. Finally, no junction could be effected with Howe's army at Albany, for Howe had sailed to the South, planning to take Philadelphia via the Chesapeake Bay. On October 13 the British commander initiated talks with the Americans. On October 17 the capitulation agreement, termed a "convention" at Burgoyne's request, was settled.

His entire army was captive. From Saratoga it was marched to Boston to be shipped home on condition that the men not fight again in America. However, because Washington and the Continental Congress feared that the return of so many troops might yet prove valuable to the British, the Convention Army, as it came to be known, was retained, under varying degrees of restriction, in America for several years. After a year in and around Boston, the remnants of the army were transferred to Charlottesville, Va., and later to Maryland. Death, desertion, exchange, and parole greatly reduced its numbers so that only two or three thousand were actually returned home at the end of the war. The wanderings of the surrendered army of Burgoyne through the American countryside form a curious last chapter to the story of Saratoga.

Accounts by Contemporaries

Some journals, notes, and letters were written in the field and convey a sense of immediacy. Others are retrospective accounts written later, often years later. Some writers incorporate material from other sources and go beyond eyewitness reports. All should be read and interpreted cautiously with regard to their accuracy and the biases of their authors.

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